## LATTICE POINTS AND LIE GROUPS. I

BY

## ROBERT S. CAHN (1)

ABSTRACT. Assume that G is a compact semisimple Lie group and  $\mathfrak G$  its associated Lie algebra. It is shown that the number of irreducible representations of G of dimension less than or equal to n is asymptotic to  $kn^{a/b}$ , where a = the rank of  $\mathfrak G$  and b = the number of positive roots of  $\mathfrak G$ .

Let G be a simple, compact or complex, simply connected Lie group and  $\mathfrak{F}$  its associated Lie algebra. If G is compact a representation is a real analytic group homomorphism  $f\colon G\to GL(V)$  where V is a complex vector space. If G is complex a representation is a complex analytic group homomorphism  $f\colon G\to GL(V)$ . In either case f will be called irreducible if V has no nontrivial invariant subspaces under the action of f(G). A homomorphism of Lie groups induces a homomorphism of the associated Lie algebras,

$$f^* \colon \mathfrak{G} \to \mathfrak{G}l(V)$$
.

a Lie algebra representation, and  $f^*$  will be called irreducible if V has no non-trivial invariant subspaces under the action of  $f^*(\mathfrak{F})$ . It is seen from this definition that f is irreducible  $\longleftrightarrow f^*$  is irreducible. If G is simply connected a Lie algebra representation of  $\mathfrak{F}$  induces a group representation of G and we thus have a bijection between irreducible representations of G and  $\mathfrak{F}$ . By the dimension of a representation we mean the dimension of V. Identifying conjugate representations we ask, "How many irreducible representations of G (or equivalently  $\mathfrak{F}$ ) are of dimension f in f in f is simpler when asked of Lie algebras since the structure of the representations is less complex.

The root space decomposition of a simple complex Lie algebra is well known

Received by the editors March 18, 1971 and, in revised form, October 13, 1972.

AMS (MOS) subject classifications (1970). Primary 22E45; Secondary 10E10.

Key words and phrases. Semisimple Lie group, irreducible representation, lattice points, Weyl's character formula.

<sup>(1)</sup> The results in this paper constitute part of the author's thesis.

120 R. S. CAHN

and is found in [1] and [2]. We let  $\delta$  be a Cartan subalgebra,  $\delta^*$  its dual and  $\mathfrak{F} = \delta \oplus_a \mathfrak{F}_a$  be the canonical root space decomposition of  $\mathfrak{F}$ ,

$$\mathfrak{G}_{\alpha} = \{X \in \mathfrak{G} | [H, X] = \alpha(H)X, H \in \mathfrak{H}\}.$$

 $R = \{\alpha \in \mathfrak{H}^* \mid \mathfrak{G}_{\alpha} \neq 0\}$  is called the set of roots. A subset of R,  $\{\alpha_1, \dots, \alpha_{a_{\mathfrak{G}}}\}$ , will be called simple if they are linearly independent, span  $\mathfrak{H}^*$  and form an integer basis for R. The dimension of  $\mathfrak{H} = a_{\mathfrak{H}}$  is the rank of  $\mathfrak{G}$ .

The Killing form is defined by  $(X, Y) = \operatorname{Tr}(\operatorname{Ad} X \circ \operatorname{Ad} Y)$ . Restricted to  $\mathfrak{H}$  it is symmetric and nondegenerate. (,) induces a dual form on  $\mathfrak{H}^*$  so we may speak of  $(\alpha, \beta)$  when  $\alpha$  and  $\beta$  are roots. Further, there are unique vectors  $H_{\alpha}$ ,  $H_{\beta} \in \mathfrak{H}$  such that  $(\alpha, \beta) = \alpha(H_{\beta}) = \beta(H_{\alpha}) = (H_{\alpha}, H_{\beta})$ .

If  $f^*: \mathfrak{F} \to \mathfrak{F}l(V)$  is a representation it has a weight space decomposition,  $V = \bigoplus_{\lambda} V_{\lambda}$ , where

$$V_{\lambda} = \{ \nu \neq 0 | f^*(H)\nu = \lambda(H)\nu, \text{ any } H \in \mathfrak{H} \}.$$

If f\* is finite dimensional it is necessary that

$$\lambda(H_i) = \lambda(2H_{\alpha_i}/(\alpha_i, \alpha_i)) = 2(\lambda, \alpha_i)/(\alpha_i, \alpha_i) \in \mathbf{Z}$$

for any  $\alpha_i$ ,  $i=1,\cdots,a$ . If  $f^*$  is irreducible there exists a weight  $\lambda$ , called the dominant weight, such that  $\lambda \geq \lambda'$  for any other  $\lambda'$  in  $f^*$  and  $\lambda(H_i) \in \mathbb{Z}^+$ ,  $i=1,\cdots,a$ . Furthermore, if  $f^{*'}$  is another irreducible representation with  $\lambda$  as dominant weight then  $f^*$  is conjugate to  $f^{*'}$ . Thus we may identify  $f^*$  with its dominant weight and we will write  $\pi_{\lambda}$  for  $f^*$ . The lattice of dominant weights is  $\mathbb{Z}^+\lambda_1 \oplus \cdots \oplus \mathbb{Z}^+\lambda_a$  where  $\lambda_i(H_i) = \delta_{ij}$ . The interest of this is that the dimension of  $\pi_{\lambda}$  is a polynomial in  $\lambda$ . By the Weyl character formula

$$f'_{\mathfrak{G}}(\lambda) = \dim \pi_{\lambda} = \prod_{\alpha>0} (\lambda + \delta, \alpha) / \prod_{\alpha>0} (\delta, \alpha)$$

where  $\delta = \frac{1}{2} \sum_{\alpha > 0} \alpha \cdot \delta = \sum \lambda_i$  [1, p. 257], so if  $\lambda$  belongs to the lattice of dominant weights then  $\lambda + \delta$  belongs to the lattice of dominant weights. If we change coordinates to  $\Lambda = \lambda + \delta = \sum \Lambda_i \lambda_i$  where  $\Lambda_i \in \mathbb{R}$ , then

dim 
$$\pi_{\lambda} = f_{\mathfrak{G}}(\Lambda) = \prod_{\alpha>0} (\Lambda, \alpha) / \prod_{\alpha>0} (\delta, \alpha).$$

The number of irreducible representations of  $\mathfrak{F}$  of dimension  $\leq n$  is then equal to the number of lattice points,  $\Lambda$ , such that  $\Lambda_i > 0$  and  $f_{\mathfrak{F}}(\Lambda) \leq n$ . We now state

**Theorem.** Let G be a simply connected, simple, complex or compact Lie group. The number of irreducible representations of G of dimension  $\leq n$  is asymptotic to  $kn^{a_{\mathbb{S}}/b_{\mathbb{S}}}$ ;  $b_{\mathbb{S}}$  = the number of positive roots of  $\mathbb{S}$ .

**Proof.** We first note that  $(\Lambda, \alpha)$  is a linear homogeneous polynomial in the coefficients of  $\Lambda$  since

$$\left(\sum_{i=1}^{\alpha} X_i \lambda_i, \sum_{i=1}^{\alpha} m_i \alpha_i\right) = \sum_{i=1}^{\alpha} m_i (\lambda_i, \alpha_i) X_i.$$

If  $e_i$ , ...,  $e_a$  is an orthonormal basis of  $\delta^*$  and if  $M: \lambda_i \to e_i$ , then if  $M^t$  is the transpose of M with respect to (,)

$$(\Lambda, \alpha) = (M^{-1}M\Lambda, \alpha) = (M\Lambda, (M^{-1})^t\alpha)$$

and MA lies in the regular integer lattice in  $\mathbf{R}^a$ . Thus if  $L = \sum_{i=1}^a X_i e_i$ ,  $X_i > 0$ , and

$$f_{\mathfrak{G}}^{0}(L) = \prod_{\alpha>0} (L, (M^{-1})^{t}\alpha) / \prod_{\alpha>0} (M\delta, M^{-1})^{t}\alpha)$$

then  $f_{(y)}^{0}(\Sigma_{i=1}^{a}X_{i}e_{i}) = f_{(y)}(\Sigma_{i=1}^{a}X_{i}\lambda_{i})$  so we may regard  $f_{(y)}$  as having asymptotes  $e_{i}=0$  and the lattice of weights as the ordinary integer lattice. We now prove a lemma on homogeneous functions.

Lemma 1. Let f be a homogeneous function on  $\mathbb{R}^a$  of degree b which is the product of linear forms  $\sum m_i x_i$ ,  $m_i \geq 0$ . If f = 0 on the planes  $x_i = 0$ ,  $i = 1, \dots, a$ , and if

$$S(1) = \{x \in \mathbb{R}^a | f(x) \le 1, x_i \ge 0\}$$

has finite volume then the number of lattice points in

$$S(r) = \{x \in \mathbb{R}^a | f(x) \le r, x_i \ge 0\}$$

is asymptotic to  $Vol(S(1))r^{a/b}$ .

**Proof.** It is clear that the volume of  $S(r) = Vol(S(1))r^{a/b}$ . If  $x \in S(r)$  then

$$f(x/(r^{1/b})) = (r^{-1/b})^b f(x) = r^{-1} f(x) < 1.$$

Since we are in  $\mathbb{R}^a$  the Jacobian of the coordinate change  $x \to \alpha x$  is  $\alpha^a$  so  $\operatorname{Vol}(S(r)) = r^{a/b}\operatorname{Vol}(S(1))$ . We will be done if the number of lattice points in  $S(r) \sim \operatorname{Vol}(S(r))$ . To see this, draw a unit a-cube at every lattice point of S(r), w, with vertices at w,  $w + e_i$  any i. Call the union of these cubes  $\overline{L}(r)$ ; a set which will contain  $S(r) \cap \{x_i \ge 1 \text{ all } i\}$  since f will be increasing in each coordinate. Now at each lattice point, w, draw a unit cube with vertices w,  $w - e_i$  any i. Call the union of these cubes  $\underline{L}(r)$ .  $\underline{L}(r) \subset S(r)$  and  $\operatorname{Vol}(\underline{L}(r)) = \operatorname{Vol}(\overline{L}(r))$ . Call  $E(r) = S(r) \cap \{x_i \le 1 \text{ some } i\}$ . Then

$$L(r) \subset S(r) \subset \overline{L}(r) \cup E(r)$$

which implies |Vol S(r)| - the number of lattice points  $| \leq Vol E(r)$ . However

Vol 
$$E(r) = r^{a/b} \text{ Vol}\{x \in S(1) \mid x_i \le r^{-1/b} \text{ some } i\}$$

and since Vol  $S(1) < \infty$  the volume of this latter set  $\to 0$  by dominated convergence. Thus Vol E(r) is o(Vol S(r)) and the number of lattice points in S(r) is asymptotic to Vol S(r).  $\square$ 

We now have a criterion we would like to apply to the polynomials  $f_{\mathfrak{G}}$ . A canonical example is the algebra  $A_2$ . The positive roots of  $A_2$  are  $\alpha_1$ ,  $\alpha_2$ ,  $\alpha_1 + \alpha_2$  and the polynomial  $f_{A_2}^0(x, y) = kxy(x + y)$ . We wish to show

$$Vol\{x, y | x > 0, y > 0, kxy(x + y) \le 1\} < \infty$$

or equivalently Vol  $A < \infty$  where

$$A = \{x, y | x > 0, y > 0, xy(x + y) < 1\}.$$

We divide A into two subsets,  $A_x = A \cap \{x \ge y\}$ ,  $A_y = A \cap \{x \le y\}$ . If  $(x, y) \in A_x$ ,  $xy(x + y) \le 1$  which implies  $x^2y \le 1$ .

$$A_x \subset \{(x, y) | x > y > 0, x^2y \le 1\}.$$

 $\operatorname{Vol} A_x \cap \{x \in [0, 1]\} \le \frac{1}{2} \text{ so } \operatorname{Vol} A_x \text{ is finite if}$ 

$$Vol\{(x, y) | x > y, x > 1, x^2y \le 1\} < \infty.$$

The volume of this set is  $\int_1^\infty x^{-2} dx = 1$  so  $\operatorname{Vol} A_x \le 3/2$ . Similarly,  $\operatorname{Vol} A_y \le 3/2$  so  $\operatorname{Vol} A < 3$  and the theorem is true for the algebra  $A_2$ . We now extend this method to higher dimensions.

**Lemma 2.** In  $\mathbb{R}^a$  let f(x) be a sum of monomials of degree b. If for every permutation i of  $\{1, \dots, a\}$  there exists in f(x) a monomial  $X_{i(1)}^{s_1} \cdots X_{i(a)}^{s_a}$  where  $s_1 > \cdots > s_a > 0$ , then the volume of the set  $S(1) = \{x \mid f(x) \leq 1, x_i \geq 0\}$  is finite.

Remark. From Lemma 1 this implies  $Vol S(r) = Vol S(1)r^{a/b}$ .

**Proof of Lemma 2.** We proceed by induction. If a=2 we have monomials  $X_1^{s_1}X_2^{s_2}$  and  $X_1^{s_2}X_2^{s_1}$ ,  $s_1 > s_2$ ,  $s_1' > s_2'$ . Again partitioning S(1) into  $A_x$  and  $A_y$  we see

Vol 
$$A_x \le \frac{1}{2} + \int_{1}^{\infty} x^{-s_1/s_2}$$
  
=  $\frac{1}{2} + (s_1/s_2 - 1)^{-1} < \infty$  since  $s_1 > s_2$ .

Similarly Vol  $A_y \le \frac{1}{2} + (s_1'/s_2' - 1)^{-1}$ .

Now assume the lemma true for a-1. Partition S(1) into the sets

$$A_{i_1}, \dots, i_a = S(1) \cap \{x_{i_1} \ge \dots \ge x_{i_a}\}.$$

We wish to show Vol  $A_{i_1}, \dots, i_n \le \infty$  for any i. As before

$$A_{i_1,\ldots,i_a} \subset \{x \mid x_{i_1} \geq \cdots \geq x_{i_a}, x_{i_1}^{s_1} \cdots x_{i_a}^{s_a} \leq 1\}.$$

If  $x_{i_1} \ge 1$  a cross-section of this set at  $x_{i_1}$  is the set

$$\{(x_{i_2}, \ldots, x_{i_a}) | x_{i_2} \ge \cdots \ge x_{i_a} \ge 0, x_{i_2}^{s_2} \cdots x_{i_a}^{s_a} \le 1/x_{i_1}^{s_1} \}.$$

By induction and the previous remark the volume of the cross-section =  $kx_{i_1}^{-\gamma}$  where  $\gamma = s_1(a-1)/(\sum_{i=2}^a s_i)$ . The volume of

$$A_{i_1,\dots,i_a} \leq \text{Vol}(A_{i_1,\dots,i_a} \cap \{x_{i_1} \in [0, 1]\}) + \int_{-1}^{\infty} y^{-\gamma} dy.$$

The first set is contained in the unit cube so it has volume  $\leq 1$  and the integral is finite as long as  $\gamma \geq 1$ . But  $s_1 > s_i \forall i > 1$  so  $(a-1)s_1 > \sum_{i=2}^a s_i \Rightarrow \gamma > 1$ .  $\square$ 

The proof of Theorem 1 will be complete if we show the criterion of Lemma 2 applies to the polynomials  $f_{(S)}$  for all simple complex Lie algebras.

If 
$$\Lambda = \sum_{i=1}^{a} X_i \lambda_i$$
 then for each  $\alpha = \sum_{i=1}^{a} m_i \alpha_i$ 

$$(\Lambda, \alpha) = \sum_{i=1}^{a} m_i(\lambda_i, \alpha_i) X_i.$$

Thus to determine f we must list all the positive roots of  $\mathfrak{F}$  in terms of the simple roots. We begin with the  $A_n$  algebras.

**Lemma 3.** The monomial  $X_1^{s(1)} \cdots X_n^{s(n)}$  is found in the expansion of  $f_{A_n}$  for every permutation s of  $(1, \dots, n)$ .

**Proof.** By referring to Serre [2] the positive roots of  $A_n$  are  $\alpha_1, \dots, \alpha_n$ ;  $\alpha_1 + \alpha_2, \dots, \alpha_{n-1} + \alpha_n$ ;  $\dots$ ;  $\alpha_1 + \dots + \alpha_n$ . Since  $(\lambda_i, \alpha_i) = c$ ,  $f_{A_n} = kX_1 \cdots X_n(X_1 + X_2) \cdots (X_{n-1} + X_n) \cdots (X_1 + \dots + X_n)$ . We now apply induction. If n = 2,  $f_{A_2} = X_1^2 X_2 + X_1 X_2^2$ . Now assume the lemma for n - 1. We write  $f_{A_n} = X_n(X_n + X_{n-1}) \cdots (X_1 + \dots + X_n) f_{A_{n-1}}$ . Pick an arbitrary permutation s. Then s(n) = j. By induction  $X_1^{s(1)} \cdots X_{n-1}^{s(n-1)}$  occurs in  $f_{A_{n-1}}$  where

$$s(i)' = \begin{cases} s(i) & \text{if } s(i) < j, \\ s(i) - 1 & \text{if } s(i) > j. \end{cases}$$

Multiply this monomial by  $X_n$  in the first j factors  $X_n, \dots, (X_n + \dots + X_{n+j-1})$ . Now pick the least i such that s(i)' < s(i). Multiply the monomial by  $X_i$  in  $(X_1 + \dots + X_n)$ . Then pick the next i' such that s(i') < s(i')' and multiply by  $X_i$ , in  $(X_2 + \dots + X_n)$ . Since  $i' > i \Rightarrow i' \geq 2$ ,  $X_i$ , is found in  $(X_2 + \dots + X_n)$ . We may thus continue until we have  $X_1^{s(1)} \dots X_n^{s(n)}$ .  $\square$ 

Remark. The degree of  $f_{A_n}$  is minimal such that we may find monomials

124

 $X_{i(1)}^{s_1} \cdots X_{i(n)}^{s_n}$  where  $s_1 > \cdots > s_n > 0$  since  $s_n \ge 1$ ,  $s_{n-1} \ge 2$ ,  $\cdots$ ,  $s_1 \ge n$  so that the degree of  $f = \sum_{i=1}^n s_i \ge \sum_{i=1}^n i$  = the degree of  $f_{A_n}$ 

Lemma 4. The monomial  $X_1^{2s(1)-1} \cdots X_n^{2s(n)-1}$  is found in the polynomials  $f_{B_n}$  and  $f_{C_n}$  for any permutation s.

**Proof.** The positive roots of  $B_n$  are  $\alpha_1, \dots, \alpha_n$ ;  $\alpha_1 + \alpha_2, \dots, \alpha_{n-1} + \alpha_n$ ;  $\dots$ ;  $\alpha_1 + \dots + \alpha_n$  and  $\alpha_i + \dots + \alpha_{j-1} + 2\alpha_j + \dots + 2\alpha_n$  where  $i < j \le n$  [2].  $f_{B_n} = k f_{A_n} \prod_{i=1}^{n-1} \prod_{j=i+1}^n (X_i + \dots + X_{j-1} + 2X_j + \dots + 2X_n)$ . From Lemma 3 we know the monomial  $X_1^{s(1)} \dots X_n^{s(n)}$  is in  $f_{A_n}$ . We wish then to show that  $X_1^{s(1)-1} \dots \hat{X}_j \dots X_n^{s(n)-1}$  where s(j) = 1 lies in

$$\prod_{i=1}^{n-1} \prod_{j=i+1}^{n} (X_i + \dots + X_{j-1} + 2X_j + \dots + 2X_n).$$

We proceed as follows. There are n-1 factors containing  $X_1$ ,  $s(1)-1 \le n-1$  so we may choose  $X_1$  in s(1)-1 of these factors. There are (n-1)+(n-2) factors containing  $X_2$  and

$$(s(1)-1)+(s(2)-1)\leq (n-1)+(n-2)$$

so choose  $X_2$  in the next s(2)-1 factors. Thus we may proceed at each stage being able to choose s(i)-1  $X_i$ 's. Multiplying we have the monomial  $X_1^{2s(1)-1}X_2^{2s(2)-1}\cdots X_n^{2s(n)-1}$ .

For  $C_n$  the positive roots are  $\alpha_1, \dots, \alpha_n$ ;  $\alpha_1 + \alpha_2, \dots, \alpha_{n-1} + \alpha_n$ ;  $\dots$ ;  $\alpha_1 + \dots + \alpha_n$ ;  $\alpha_i + \dots + \alpha_{j-1} + 2\alpha_j + \dots + 2\alpha_{n-1} + \alpha_n$ , i < n,  $i \le j \le n-1$ . The roots are different from  $B_n$  but contain the same  $\alpha_i$  so the argument is the same.  $\square$ 

Lemma 5.  $f_{D_n}$  contains monomials of descending degrees for  $n \ge 6$ .

**Proof.** Referring to Serre the positive roots of  $D_n$  are  $\alpha_1, \dots, \alpha_{n-1}; \alpha_1 + \alpha_2, \dots, \alpha_{n-2} + \alpha_{n-1}; \dots; \alpha_1 + \dots + \alpha_{n-1}; \alpha_{n-2} + \alpha_n, \dots, \alpha_1 + \dots + \alpha_{n-2} + \alpha_n; \alpha_{n-2} + \alpha_{n-1} + \alpha_n, \dots, \alpha_1 + \dots + \alpha_{n-1} + \alpha_n; \alpha_i + \dots + 2\alpha_j + \dots + 2\alpha_{n-2} + \alpha_{n-1} + \alpha_n.$  We may write

$$\int_{D_n} = k \int_{A_{n-1}} (X_{n-2} + X_n) (X_{n-3} + X_{n-2} + X_n) \dots (X_1 + \dots + X_{n-2} + X_n)$$

$$\vdots X_n (X_{n-2} + X_{n-1} + X_n) \dots (X_1 + \dots + X_n)$$

$$\prod_{i=1}^{n-3} \prod_{j=i+1}^{n-2} (X_i + \cdots + 2X_j + \cdots + 2X_{n-2} + X_{n-1} + X_n).$$

The bracketed expression is what is needed along with  $f_{A_{n-1}}$  to create  $f_{A_n}$  except for the missing factor  $(X_{n-1} + X_n)$ . We compensate by adding the term  $(X_{n-3} + 2X_{n-2} + X_{n-1} + X_n)$  to create a function containing every monomial of  $f_{A_n}$ . The remaining terms we write as

$$g_{D_n} = \prod_{i=1}^{n-2} (X_i + \dots + X_{n-2} + X_n)$$

$$\prod_{i=1}^{n-4} \prod_{j=i+1}^{n-2} (X_i + \cdots + 2X_j + \cdots + 2X_{n-2} + X_{n-1} + X_n).$$

We know from Lemma 3 that  $X_{s(1)}^1 \cdots X_{s(n)}^n$  is found in  $f_{A_n}$  for any permutation s. We wish to produce a monomial with descending degrees in the  $X_{s(i)}$  in  $g_{D_n}$  for any permutation s. There are two cases. First assume that  $s(1) \neq n-1$ . Then we will be done if the monomial

$$X_{s(n)}^{n-2} \cdots X_{s(6)}^4 X_{s(5)}^2 X_{s(4)} X_{s(3)} X_{s(2)}$$

is in  $g_{D_n}$ . First choose n-2 different  $X_i$  from

$$\prod_{i=1}^{n-2} (X_i + \cdots + X_{n-2} + X_n), \quad i \neq n-1, s(1).$$

We then proceed to the second factor. There are n-3 terms containing  $X_1$  so if s(j)=1 we may pick  $X_1$  in j-3 terms. Mimicking Lemma 4 we may continue by picking j'-3  $X_2$ 's; where s(j')=2 and so on to  $X_{n-2}$ . The sole difference in the procedure will be that if  $j\in\{1,2,3,4\}$  we choose no  $X_{s(j)}$ 's. After  $X_{n-2}$  every term contains  $X_{n-1}$  and  $X_n$  so we may arbitrarily choose k-2  $X_{n-1}$ 's and k'-3  $X_n$ 's; s(k)=n-1, s(k')=n. We have thus produced the desired monomial belonging to  $g_{D_n}$  and multiplying by  $X_{s(1)}^1 \cdots X_{s(n)}^n$  we have a monomial with strictly decreasing degrees.

If n-1=s(1) we will be done if

$$X_{s(n)}^{n-3}X_{s(n-1)}^{n-3} \cdots X_{s(6)}^{4}X_{s(5)}^{2}X_{s(4)}X_{s(3)}X_{s(2)}X_{s(1)}$$

is in  $g_{D_n}$ . First pick  $\{X_{s(n-1)}, \dots, X_{s(2)}\}$  in  $\prod_{i=1}^{n-2} (X_i + \dots + X_{n-2} + X_n)$ . Then proceed as before choosing j-3  $X_1$ 's, j'-3  $X_2$ 's and so on again skipping  $X_{s(1)}, \dots, X_{s(4)}$ . Proceed to  $X_{n-2}$  and then to  $X_n$ . There will be one remaining term which a priori contains  $X_{n-1}$ . Multiplying by  $X_{n-1}$  from this factor we produce our monomial.

We have proved Theorem 1 for  $A_n$ ,  $B_n$ ,  $C_n$  and  $D_n$  for  $n \ge 6$ . These are all the complex simple Lie algebras except for the algebras  $G_2$ ,  $F_4$ ,  $D_4$ ,  $D_5$ ,  $E_6$ ,  $E_7$  and  $E_8$ . In these cases the conditions of Lemma 2 may be verified directly.

We now summarize the results:

Algebra	a y	b.(y)	$c_{\mathbf{G}} = a_{\mathbf{G}}/b_{\mathbf{G}}$
$A_n, n \geq 1$	n	n(n + 1)/2	2/n + 1
$B_n, C_n, n \geq 2$	n	$n^2$	1/n
$D_n, n \geq 4$	n	n(n-1)	1/n - 1
$G_2$	2	6	1/3
F <sub>4</sub>	4	24	1/6
E <sub>6</sub>	6	36	1/6
E <sub>7</sub>	7	63	1/9
$E_8$	8	120	1/15

We now extend our results to semisimple Lie algebras.

Corollary. Let  $\mathfrak{G}$  be a semisimple Lie algebra,  $\mathfrak{G} = \bigoplus_{i=1}^n \mathfrak{G}_i$ , with  $\mathfrak{G}_i$  the simple components. If  $c_{\mathfrak{G}_1} = \cdots = c_{\mathfrak{G}_s} > c_{\mathfrak{G}_{s+1}} \geq \cdots \geq c_{\mathfrak{G}_n}$ , then the number of irreducible representations of  $\mathfrak{G}$  of dimension less than or equal to T is asymptotic to  $kT^{c_{\mathfrak{G}_1}} \log^{s-1} T$ .

**Proof.** We first assume that  $\mathfrak{G}$  has two simple factors,  $\mathfrak{G} = \mathfrak{G}_1 \oplus \mathfrak{G}_2$ . The irreducible representations of  $\mathfrak{G}$  are tensor products of irreducible representations of the simple factors and the dimension of the tensor representation is a product of the dimensions of the factor representations. The number of irreducible representations of  $\mathfrak{G}$  of dimension  $\leq r$  is  $h(r) = \sum_{m,n} \epsilon_Z + \frac{1}{2} \frac{1}{2$ 

We partition  $S = \{x, y \mid xy \le r, x, y \ge 0\}$  into  $S_x = S \cap \{x \in [0, r^{1/2}]\}$ ,  $S_y = S \cap \{y \in [0, r^{1/2}]\}$ .  $S = S_x \cup S_y$  so if we estimate both  $h_x(r) = \sum_{(m,n) \in S_x} M_1(m) M_2(n)$  and  $h_y(r) = \sum_{(m,n) \in S_y} M_1(m) M_2(y)$  asymptotically, then  $h(r) \sim \max(h_x(r), h_y(r))$ . Assume  $c_{\mathfrak{G}_1} > c_{\mathfrak{G}_2}(c_1)$  and  $c_2$  for brevity); we will deal with  $c_1 = c_2$  later. Theorem 1 states  $\sum_{i=1}^n M_i(i) \sim \mu_i n^{c_i}$ . Thus

$$b_x(r) = \sum_{i=1}^{[r/2]} M_1(i) \sum_{j=1}^{[r/i]} M_2(j).$$

For any  $\epsilon$  there exists  $r_2$  such that

$$\left| \left( \sum_{j=1}^{L} M_2(j) - \mu_2 L^{c_2} \right) \middle/ \sum_{j=1}^{L} M_2(j) \right| < \epsilon \text{ any } L \ge r_2.$$

Then

$$b_x(r) = \mu_2 r^{c_2} \sum_{i=1}^{\left[r^{\frac{1}{2}}\right]} M_1(i) / i^{c_2} + \epsilon' b_x(r)$$

where  $|\epsilon'| < \epsilon$  if  $r > r_2^2$ . Thus

$$b_x(r) \sim \mu_2 r^{c_2} \sum_{i=1}^{[r^{1/2}]} M_1(i)/i^{c_2}.$$

By the Abel summation formula

$$\sum_{i=1}^{\left[r^{\frac{1}{2}}\right]} M_{1}(i)/i^{c_{2}} = \sum_{i=1}^{\left[r^{\frac{1}{2}}\right]-1} \left(\sum_{j=1}^{i} M_{1}(j)\right) (1/i^{c_{2}} - 1/(i+1)^{c_{2}}) + \sum_{i=1}^{\left[r^{\frac{1}{2}}\right]} M_{1}(i) \cdot r^{-c_{2}/2}.$$

Now 
$$c_2/i^{c_2+1} > 1/i^{c_2} - 1/(i+1)^{c_2} > c_2/(i+1)^{c_2+1}$$
, so

$$\sum_{i=1}^{\left[r^{\frac{1}{2}}\right]-1} \left(\sum_{j=1}^{i} \mathsf{M}_{1}(j)\right) \cdot c_{2}/i^{c_{2}+1}$$

$$> \sum_{i=1}^{\left[r^{\frac{1}{2}}\right]-1} \left(\sum_{j=1}^{i} M_{1}(j)\right) \left(1/i^{c_{2}} - 1/(i+1)^{c_{2}}\right) > \sum_{i=1}^{\left[r^{\frac{1}{2}}\right]-1} \left(\sum_{j=1}^{i} M_{1}(j)\right) \cdot c_{2}/(i+1)^{c_{2}+1}.$$

For any  $\epsilon > 0$  there exists  $r_1$  such that

$$\left\| \left( \sum_{j=1}^{L} M_{1}(j) - \mu_{1} L^{c} \right) \right\| \sum_{j=1}^{L} M_{1}(j) < \epsilon \quad \text{any } L \ge r_{1}.$$

If  $r \gg r_1^2$ ,  $r_2^2$ 

$$\sum_{i=1}^{\left[r^{\frac{1}{2}}\right]-1} \left(\sum_{j=1}^{i} M_{1}(j)\right) \cdot c_{2}/i^{c_{2}+1} = \sum_{i=1}^{\left[r^{\frac{1}{2}}\right]-1} \mu_{1}c_{2}i^{c_{1}-c_{2}-1} + E + A$$

where

$$|E| < \epsilon \sum_{i=r_1}^{\lceil r^{\frac{1}{2}} \rceil - 1} \left( \sum_{j=1}^{i} M_1(j) \right) \cdot c_2 / i^{c_2 + 1}$$

and

$$A = \sum_{i=1}^{r_1} \left( \sum_{j=1}^{i} M_1(j) - \mu_1 i^{c_1} \right) \cdot c_2 / i^{c_2 + 1}.$$

$$\begin{split} & [r^{\frac{1}{2}}]^{-1} \sum_{i=1}^{n} \mu_1 c_2 i^{c_1 - c_2 - 1} \sim \mu_1 c_2 \int_{1}^{[r^{\frac{1}{2}}]} {}^{-1} x^{c_1 - c_2 - 1} dx \\ & = \mu_1 c_2 / (c_1 - c_2) x^{c_1 - c_2} \Big|_{1}^{r^{\frac{1}{2}} - 1} = k r^{(c_1 - c_2)/2} + k'. \end{split}$$

Also

$$\sum_{i=1}^{\left[r^{\frac{1}{2}}\right]} M_{1}(i) \cdot r^{-c_{2}/2} = k_{0} r^{(c_{1}-c_{2})/2} + E'$$

where  $|E'| < \epsilon \sum_{i=1}^{[r^{1/2}]} M_1(i) \cdot r^{-c_2/2}$ . Thus

$$\sum_{i=1}^{\lfloor r^{1/2} \rfloor} M_1(i)/i^{c_2} = (k+k_0)r^{c_1-c_2/2} + (k'+A) + (E+E').$$

From this

$$(1+2\epsilon)b_{x}(r) > (k+k')r^{c_1+c_2/2} + (k'+A)r^{c_2} > (1-2\epsilon)b_{x}(r).$$

Thus  $b_x(r) \sim cr^{c_2} + c'r^{c_1+c_2}$ . Similarly  $b_y(r) \sim \mu_1 r^{c_1} \sum_{i=1}^{\left[r^{\frac{1}{2}}\right]} M_2(i)/i^{c_1}$ . But in this case  $\sum_{i=1}^{\left[r^{\frac{1}{2}}\right]} M_2(i)/i^{c_1}$  is asymptotic to a constant. To see this

$$\sum_{i=1}^{\left[r^{\frac{1}{2}}\right]} \mathsf{M}_{2}(i)/i^{c_{1}} = \sum_{i=1}^{\left[r^{\frac{1}{2}}\right]-1} \left(\sum_{j=1}^{i} \mathsf{M}_{2}(j)\right) (1/i^{c_{1}} - 1/(i+1)^{c_{1}}) + \sum_{j=1}^{\left[r^{\frac{1}{2}}\right]} \mathsf{M}_{2}(j)r^{-c_{1}/2}.$$

$$\sum_{j=1}^{x} M_{2}(j) \text{ is } O(x^{c_{2}}) \text{ and } (1/i^{c_{1}} - 1/(i+1)^{c_{1}}) < c_{1}/i^{c_{1}+1}, \text{ so}$$

$$\sum_{j=1}^{\lfloor r^{\frac{1}{2}} \rfloor} M_{2}(i)/i^{c_{2}} \le k \int_{1}^{r^{\frac{1}{2}}} x^{c_{2}-c_{1}-1} dx + k_{0}r^{c_{2}-c_{1}/2}$$

$$= k/(c_1 - c_2)(1 - r^{c_2 - c_1/2}) + k_0 r^{c_2 - c_1/2}.$$

But  $c_2-c_1 < 0$  so the above sum is  $\leq 2k/(c_1-c_2)$  if r is sufficiently large and  $\lim_{r\to\infty} \sum_{i=1}^r \mathsf{M}_2(i)/i^{c_1}$  exists and is equal to k'. Thus  $b_y(r) \sim k' r^{c_1}$  and  $b(r) \sim b_y(r)$ .

This settles the case of  $^{\mathfrak{G}}=\bigoplus_{i=1}^{n}^{\mathfrak{G}}{}_{i}$  where  $c_{1}>c_{i}$ , i>1. By the above argument  $^{\mathfrak{G}}{}_{1}\oplus ^{\mathfrak{G}}{}_{2}$  has asymptotically  $k'n^{c_{1}}$  irreducible representations of dimension  $\leq n$ . By iteration  $(^{\mathfrak{G}}{}_{1}\oplus ^{\mathfrak{G}}{}_{2})\oplus ^{\mathfrak{G}}{}_{3}$  still has  $\sim k''n^{c_{1}}$  irreducible representations and so on. This leaves the case of  $c_{1}=\cdots=c_{s}$ . Let  $^{\mathfrak{G}}{}_{3}=\cdots=c_{s}$ . Let  $^{\mathfrak{G}}{}_{4}=\cdots=c_{s}=c_{s}$ . Let  $^{\mathfrak{G}}{}_{5}=\cdots=c_{s}=c_{s$ 

$$b(r) = b_x(r) + b_y(r) - \sum_{i,j \in S_x \cap S_y} M_1(i)M_2(j)$$

and the latter sum equals

$$\sum_{i=1}^{\left[r^{\frac{1}{2}}\right]} \mathsf{M}_{1}(i) \mathsf{M}_{2}(j) = \sum_{i=1}^{\left[r^{\frac{1}{2}}\right]} \mathsf{M}_{1}(i) \cdot \sum_{j=1}^{\left[r^{\frac{1}{2}}\right]} \mathsf{M}_{2}(j)$$

which is  $O(r^{c_1})$  so that  $b(r) \sim kr^{c_1} \log r$ . Taking  $\mathfrak{G} = (\mathfrak{G}_1 \oplus \mathfrak{G}_2) \oplus \mathfrak{G}_3$  we arrive at the integral

$$\int_{1}^{\left[r^{\frac{1}{2}}\right]} (\log x)/x \, dx = \frac{1}{8} \log^{2} r.$$
 So  $b_{x}(r) \sim kr^{c_{1}} \log^{2} r$ ,  $b_{y}(r) \sim k' r^{c_{1}} \log^{2} r$ ,  $\sum_{i,j \in S_{x} \cap S_{y}} M_{1}(i) M_{2}(j)$  is  $O(r^{c_{1}} \log r)$  and  $b(r) \sim k_{0} r^{c_{1}} \log^{2} r$ . Continuing to the case  $\mathfrak{G} = \bigoplus_{i=1}^{s} \mathfrak{G}_{i}$  we have  $b(r) \sim kr^{c_{1}} \log^{s-1} r$  and our corollary is proven.  $\square$ 

## **BIBLIOGRAPHY**

- 1. N. Jacobson, Lie algebras, Interscience Tracts in Pure and Appl. Math., no. 10, Interscience, New York, 1962. MR 31 #2354.
- 2. J.-P. Serre, Algèbres de Lie semi-simples complexes, Benjamin, New York, 1966. MR 35 #6721.

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF MIAMI, CORAL GABLES, FLORIDA 33124